

**WORKMAN  
NYDEGGER  
& SEELEY**

ATTORNEYS AT LAW

A PROFESSIONAL CORPORATION

1000 EAGLE GATE TOWER

60 EAST SOUTH TEMPLE

SALT LAKE CITY, UTAH 84111

TELEPHONE (801) 533-9800

FACSIMILE (801) 328-1707

RICK D. NYDEGGER  
DAVID O. SEELEY  
BRENT P. LORIMER  
THOMAS R. VUKSINICK  
LARRY R. LAYCOCK  
JONATHAN W. RICHARDS  
DAVID R. WRIGHT  
JOHN C. STRINGHAM  
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DANA L. TANGREN  
ERIC L. MASCHOFF  
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ROBYN L. PHILLIPS  
RICHARD C. GILMORE†  
DAVID B. DELLENBACH  
R. BURNS ISRAELSEN  
DAVID R. TODD  
L. DAVID GRIFFIN  
ADRIAN J. LEE  
FRASER D. ROY

CARL T. REED  
R. PARRISH FREEMAN, JR.  
PETER F. MALEN, JR.  
L. REX SEARS, PH.D.  
ERIC M. KAMERATH  
ROBERT E. AYCOCK  
JENS C. JENKINS  
KEVIN W. STINGER  
WILLIAM J. ATHAY  
MICHAEL B. DODD  
WILLIAM R. RICHTER  
RYAN D. BENSON  
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MATTHEW D. TODD  
J. LAVAR OLDHAM  
JOSEPH L. KRUPA  
BRETT A. HANSEN  
BRETT I. JOHNSON  
MATTHEW A. BARLOW

† ADMITTED ONLY IN CALIFORNIA

PATENTS

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MAILING ADDRESS:

P.O. BOX 45862

SALT LAKE CITY, UT 84145

INTERNET

HOME PAGE: <http://www.wnspatent.com>

GENERAL E-MAIL: [info@wnspatent.com](mailto:info@wnspatent.com)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Mail Stop PATENT APPLICATION

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

15735 U.S. PTO  
10/614637  
07/07/03

TRANSMITTAL FOR PATENT APPLICATION

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a United States patent application entitled CHARACTERISTIC ADJUSTMENT METHOD OF MULTISTAGE MACH-ZEHNDER INTERFEROMETER TYPE OPTICAL CIRCUIT AND MULTISTAGE MACH-ZEHNDER INTERFEROMETER TYPE OPTICAL CIRCUIT in the name of the following inventor(s): Koichi Takiguchi and Senichi Suzuki.

Enclosed are the following:

- X A specification, claims, abstract, and cover page in total comprising sixty-three (63) pages.
- X Eight (8) sheets of drawings.
- X A joint signature Combined Declaration and Power of Attorney.
- X An Assignment conveying the invention to Nippon Telegraph and Telephone Corporation, including a Form PTO 1595 recordation cover sheet.
- A Declaration Claiming Small Entity Status pursuant to 37 C.F.R. § 1.9 and § 1.27.

\_\_\_\_\_ The present application claims entitlement to Small Entity Status under 37 CFR §§ 1.9 and 1.27

\_\_\_\_\_ Enclosed is a non-publication request requesting that the present application not be published.

X A Certificate of Mailing by "Express Mail" certifying a filing date by use of Express Mail Label No. EV 319 223 630 US.

X Priority to Japanese Application Serial No. 2002-201059 filed on July 10, 2002 is claimed under 35 U.S.C. § 119.

X A certified copy of Japanese Application Serial No. 2002-201059.

\_\_\_\_\_ An Associate Power of Attorney.

The filing fee has been calculated as shown below.

			SMALL ENTITY		LARGE ENTITY	
FOR	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
BASIC FEE				\$375		\$750
TOT. CLAIMS	18 - 20=	0	X 9=		X 18=	
IND. CLAIMS	2 - 3=	0	X 42		X 84=	
MULTIPLE DEPENDENT CLAIM			+140=		+280=	
			<b>TOTAL</b>		<b>TOTAL</b>	750

X Form PTO-2038 submitting Credit Card Payment in the amount of \$790.00 is enclosed to cover:

X The \$750.00 government filing fee.

X The \$40.00 recordation fee of the enclosed assignment.

\_\_\_\_\_ Please debit Deposit Account No. 23-3178 in the amount of \$\_\_\_\_\_ for the government filing fee.

\_\_\_\_\_ Please debit Deposit Account No. 23-3178 in the amount of \$40.00 for recordation of the enclosed Assignment.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 23-3178.

X Any additional filing fees required under 37 C.F.R. § 1.16.

X Any patent application processing fees under 37 C.F.R. § 1.17.

X The Commissioner is hereby authorized to charge payment of the following fees during the pendency of this application or credit any overpayment to Deposit Account No. 23-3178.

X Any filing fees under 37 C.F.R. § 1.16 for presentation of extra claims.

X Any patent application processing fees under 37 C.F.R. § 1.17.

— The issue fee set forth in 37 C.F.R. § 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 C.F.R. § 1.311(b).

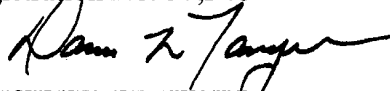
X A duplicate copy of this letter is enclosed.

Please address all future correspondence in connection with the above-identified patent application to the attention of the undersigned.

Dated this 7<sup>th</sup> day of July 2003.

Respectfully submitted,

DANA L. TANGREN  
Attorney for Applicant  
Registration No. 37,246



**022913**

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Date of Deposit: July 7, 2003

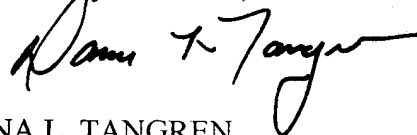
CERTIFICATE OF MAILING BY "EXPRESS MAIL"

I hereby certify that the following documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below in an envelope addressed to Mail Stop PATENT APPLICATION, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450:

- Patent Application in the names of Koichi Takiguchi and Senichi Suzuki for CHARACTERISTIC ADJUSTMENT METHOD OF MULTISTAGE MACH-ZEHNDER INTERFEROMETER TYPE OPTICAL CIRCUIT AND MULTISTAGE MACH-ZEHNDER INTERFEROMETER TYPE OPTICAL CIRCUIT (63 pages)
- Drawings (8 sheets)
- Assignment with Form PTO-1595 cover sheet (4 pages)
- Combined Declaration and Power of Attorney (4 pages)
- Transmittal Letter (3 pages) (in duplicate)
- Certified copy of Japanese application no. 2002-201059
- Form PTO-2038 submitting Credit Card Payment in the amount of \$790.00
- Postcard

Dated this 7<sup>th</sup> day of July 2003.

Respectfully submitted,



DANA L. TANGREN  
Attorney for Applicant  
Registration No. 37,246

WORKMAN, NYDEGGER & SEELEY  
1000 Eagle Gate Tower  
60 East South Temple  
Salt Lake City, Utah 84111  
Telephone: (801) 533-9800

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